UNITED STATES DISTRICT COURT DISTRICT OF MINNESOTA

IN RE: NATIONAL HOCKEY LEAGUE) COURT FILE
PLAYERS' CONCUSSION INJURY) NO. 14-MD-2551 (SRN/JSM)
LITIGATION)
(ALL ACTIONS)	 Courtroom 7B Thursday, August 25, 2016 St. Paul, Minnesota

HEARING ON

PLAINTIFFS' MOTION TO ADD CLASS REPRESENTATIVE

[DOCKET NO. 564]

BEFORE THE HONORABLE SUSAN RICHARD NELSON UNITED STATES DISTRICT JUDGE

TIMOTHY J. WILLETTE, RDR, CRR, CRC

Official Court Reporter - United States District Court 1005 United States Courthouse 300 South Fourth Street Minneapolis, Minnesota 55415 612.664.5108

For the Plaintiffs: SILVERMAN, THOMPSON, SLUTKIN & WHITE By: WILLIAM N. SINCLAIR, ESQUIRE 201 North Charles Street - Suite 2600 Baltimore, Maryland 21201

HELMUTH & JOHNSON, PLLC By: MICHAEL R. CASHMAN, ESQUIRE 8050 West 78th Street Edina, Minnesota 55439

ZIMMERMAN REED, PLLP By: BRIAN C. GUDMUNDSON, ESQUIRE 1100 IDS Center 80 South Eighth Street Minneapolis, Minnesota 55402

BASSFORD REMELE, P.A.

By: JEFFREY D. KLOBUCAR, ESQUIRE MARK R. BRADFORD, ESQUIRE (VIA TELEPHONE) 33 South Sixth Street - Suite 3800

Minneapolis, Minnesota 55402-3707

NAMANNY, BYRNE & OWENS, APC

By: THOMAS J. BYRNE, ESQUIRE (VIA TELEPHONE) 2 South Pointe Drive Lake Forest, California 92630

* * * *

APPEARANCES: (Continued)

For the Defendant:

SKADDEN, ARPS, SLATE, MEAGHER & FLOM, LLP By: JOHN H. BEISNER, ESQUIRE

SHEPARD GOLDFEIN, ESQUIRE (NY) JAMES A. KEYTE, ESQUIRE (NY) (NY - VIA TELEPHONE) 1440 New York Avenue, N.W.

Washington, D.C. 20005

FAEGRE BAKER DANIELS, LLP

By: DANIEL J. CONNOLLY, ESQUIRE LINDA S. SVITAK, ESQUIRE 2200 Wells Fargo Center 90 South Seventh Street Minneapolis, Minnesota 55402

NATIONAL HOCKEY LEAGUE

BY: DAVID ZIMMERMAN, ESQUIRE (VIA TELEPHONE)

1185 Avenue of the Americas New York, New York 10036-2601

PROSKAUER ROSE, LLP

By: ADAM M. LUPION, ESQUIRE (VIA TELEPHONE) Eleven Times Square New York, New York 10036-6522

* * * *

1	(9:30 a.m.)
2	PROCEEDINGS
3	IN OPEN COURT
4	THE COURT: Please be seated.
5	Good morning, everybody. We are here today in the
6	matter of the National Hockey League Players' Concussion
7	Injury Litigation. This is 14-MDL-2551.
8	We have a new lead plaintiffs' counsel here today.
9	Good morning. Go ahead.
10	MR. SINCLAIR: Good morning, Your Honor. Bill
11	Sinclair on behalf of Plaintiffs.
12	MR. CASHMAN: Good morning, Your Honor. Michael
13	Cashman for the plaintiffs.
14	MR. GUDMUNDSON: Good morning. Brian Gudmundson
15	on behalf of the plaintiffs.
16	MR. KLOBUCAR: And good morning, Your Honor. Jeff
17	Klobucar on behalf of the plaintiffs. Appearing
18	telephonically today is kind of a short list for the
19	plaintiffs: Tom Byrne from the Namanny Byrne firm, and Mark
20	Bradford from my office.
21	THE COURT: All right.
22	Good morning, Mr. Beisner.
23	MR. BEISNER: Good morning, Your Honor. Same old
24	John Beisner
25	(Laughter)

1	MR. BEISNER: for the defendant, NHL.
2	MR. CONNOLLY: Good morning, Your Honor. Dan
3	Connolly, Faegre Baker Daniels, for the defendants.
4	MS. SVITAK: Good morning, Your Honor. Linda
5	Svitak, Faegre Baker Daniels, for the defendants.
6	THE COURT: Very good.
7	MR. CONNOLLY: And, Your Honor, appearing
8	telephonically for the NHL are David Zimmerman from the NHL,
9	Shep Goldfein and James Keyte from Skadden Arps, and Adam
10	Lupion from Proskauer Rose.
11	THE COURT: Very good.
12	We are here today in an atypical way. We're not
13	having a conference, but we're here for a motion hearing to
14	consider Plaintiffs' motion to add a class representative.
15	Who wishes to be heard?
16	MR. SINCLAIR: I do, your Honor.
17	THE COURT: Mr. Sinclair.
18	MR. SINCLAIR: Thank you.
19	Your Honor, the last time I was here I told you I
20	drew the short stick on this one, and the reason for that
21	was we were somewhat harried and in a rush in putting this
22	together as evidenced by the fact that we did the motion as
23	a letter first and then we did a motion, some other things.
24	So I've already apologized to Mr. Beisner this morning and I
25	wanted to apologize to the Court. I think that the harried

1 nature probably did not present everything as well as it 2 could have and I know that that makes your job a little 3 tougher. Having clerked in a building like this, I 4 understand how that goes.

5 And I did want to particularly point out one error that I saw in our opening motion, which was, we had said 6 that we did not -- that Plaintiffs' counsel did not become 7 8 aware of the CTE diagnosis for Mr. Zeidel until July 2016. 9 That's not correct. It was February 2015. So, again, I 10 apologized to opposing counsel already, I apologize to the 11 Court. I'm here today to answer any and all questions that 12 may come forward, but obviously having a full and complete 13 record is what's ultimately most important and that's what 14 we're here today to do.

Happily, I think counsel are in agreement on the issues and I think they're fairly simple and fairly straightforward as these things go.

I think there are two legal issues that we need to address. One is Plaintiffs' diligence and the other is Defendant's prejudice. The diligence is going to be measured by Rule 16 and we'll get into that.

The prejudice, I've seen cases addressing it in a Rule 16 standard. I've seen cases addressing it a Rule 15 standard. I've even seen one case talking about it in terms of intervention, permissive intervention. I'm not sure that

1 it really matters. I think however you slice it from a 2 legal perspective, we come out with the better side of the 3 argument on prejudice. So those are the two framing issues 4 that I want to talk about today, diligence and prejudice. 5 In terms of diligence, Plaintiffs' view -- and it did not come through as well in our motion as I would have 6 7 liked and hopefully our reply cleared it up. Plaintiffs' view on diligence focuses from the 8 9 date that we were retained by the estate through the 10 present, and that date was July 8th, 2016, and opposing 11 counsel has not argued that we have failed to act with 12 diligence during that time frame. I don't think there 13 probably is a good-faith argument that we haven't. That was 14 less than two months ago and we're here already. So that's 15 how we view the world and I can tell you the reason we do. 16 Pennsylvania, like most jurisdictions, the 17 attorney-client relationship terminates upon death. So 18 while Mr. Zeidel himself retained my law firm -- and we work 19 in connection with the Namanny, Byrne & Owens firm; 20 Mr. Byrne is on the phone, so you've seen that in 21 conjunction, but I'm probably just going to say "my firm" --2.2 retained my firm in April of 2014. That relationship terminated when Mr. Zeidel died. And from our 23 24 perspective -- I don't even think "revived" is the right 25 word. A new relationship was entered into when Mr. Bradley,

TIMOTHY J. WILLETTE, RDR, CRR, CRC (612) 664-5108

7

who is now the personal representative, signed the retainer on July 8th.

1

2

3 Now, Pennsylvania, like most jurisdictions, 4 recognizes an exception. If the retainer provides that 5 counsel will prosecute or defend the action through final judgment, then counsel can continue to do so even after 6 7 death. But you've got the affidavit from my partner, 8 Mr. Silverman. I've got the retainer, which I'm happy to 9 provide to the Court. I'm not sure what's the best in 10 camera way to do so, but we're happy to give it to you if 11 you'd like to review it. But there's no such language like 12 that that would require us or obligate us to continue with 13 this -- continue with that representation past the point 14 where Mr. Zeidel died, and that -- he died in June of 2014. 15 So, from our view, the applicable time frame to

16 look at our diligence is July 8th, 2016 to the present, 17 July 8th being the date we were retained, or backing it up 18 to June 2nd, 2016, which was the date that Mr. Bradley was 19 appointed as the personal representative. And we've 20 provided the letters testamentary to Mr. Beisner, to the 21 NHL, which show that that appointment took place on 2.2 June 2nd. And I can't remember if it was part of the 23 record, but we can certainly make them so if they're not. 24 And the reason we view those dates as the 25 appropriate dates by which to make this decision about

1 diligence is because diligence can only be measured by what we have control over. We cannot, obviously, force anyone to 2 3 sign up with us. We cannot force personal representatives, 4 who are the ones who make these decisions, as we all know. 5 At the point after Mr. Zeidel died, we certainly had contact with Karen Zeidel, and we go into that in our 6 7 reply brief and the affidavits, but that's a red herring. 8 Ms. Zeidel is not the personal representative of the estate. 9 Ms. Zeidel cannot bind the estate to pick new counsel to 10 represent the estate in this litigation or any other 11 litigation. 12 So, you know, what we have done with Ms. Zeidel or 13 what we haven't done with Ms. Zeidel, I'm not sure it really 14 matters to the analysis in front of you. You have to look 15 at what we have done. And what we have done, as in 16 Mr. Byrne's affidavit, is that we did send regular e-mails 17 to all retained clients and the e-mail address we had was 18 for Joan Bradley. Ms. Bradley was the first personal 19 representative appointed for Mr. Zeidel's estate. We have 20 now come to learn -- this is from conversations with 21 Meredith Seigel, who is the court-appointed lawyer in 2.2 Philadelphia -- that apparently Ms. Bradley absconded with 23 funds from the estate to England, and her husband, 24 Mr. Bradley, was appointed as personal representative on 25 June 2nd. The estate has not even been probated yet. It's

1	two years plus. I think that that speaks to, you know, the
2	issues that they are having in Philadelphia with this
3	estate.
4	So I'm not sure it's fair to look at Plaintiffs'
5	counsel's diligence in terms of forcing people in
6	Philadelphia who were there's obviously some issues going
7	on there to retain us to have us move forward with the
8	litigation. I think the applicable time frame you should be
9	looking at is from the date that we got retained, what did
10	we do, or backing it up to the date when Mr. Bradley, who's
11	now the personal representative, was appointed in that
12	position, and again, that's June 2nd, 2014.
13	That's really, I think, all I have to say on
14	diligence at this point.
15	With regard to prejudice, we Defendants have
16	put forward two arguments. One deals with discovery and the
17	second deals with a motion to dismiss that they anticipate
18	filing on statute of limitations grounds should this motion
19	be granted.
20	With regard to discovery, the <i>Lutheran Brotherhood</i>
21	case which we cited in our motion talks about the heavy
22	burden that Defendants have. No mere prejudice will
23	suffice. They need to come forward and satisfy you that
24	they have a heavy burden on prejudice.
25	And we had framed this in terms of claims. That's

the cases that we saw -- they're cited in our motion --1 about whether or not in this case the defendants had notice 2 3 of the claims, and the defendants don't address those issues 4 They focus instead not on whether they're going to at all. 5 be prejudiced because there's new claims or the claims have changed. And, you know, looking at the second amended 6 7 complaint, the claims really haven't changed. In fact, we've withdrawn a couple claims. They've focused, again, 8 9 solely on these issues of discovery and whether or not they 10 would be able to -- the chance of success of a motion to 11 dismiss on these issues.

12 In terms of discovery, they've spent a lot --13 they've spent a good portion of their brief focused on the 14 amount of discovery they would need, documents and medical 15 records and the time frame it would cover, the years that he 16 played and the different places he played, the fact that he 17 is dead and the information they would need from family, 18 from anyone else who might know him so they could get the 19 kind of information they would want that they would 20 otherwise get from him in a deposition. They spend about 21 eight pages on that. But all of that information isn't 2.2 really what's at issue. What is at issue is what they need 23 for class certification, because that's where we are right 24 The **E*TRADE** case that they cite is distinguishable on now. 25 a few different grounds.

1 One, in that case, discovery on the named 2 plaintiffs had closed. It's not just that class discovery 3 had closed in that case, but the discovery in the named plaintiffs had closed as well, and obviously that's not the 4 5 case right now. As they make very clear in their opposition, there is still apparently outstanding discovery 6 7 for the named plaintiffs. So that's, I think, an important difference between the **E*TRADE** case and ours. 8

9 And the second one in the **E*TRADE** case is that the 10 court there found that adding that plaintiff would not 11 I may be -- I'm simplifying things a little bit, matter. 12 but that was the essence of what the court had found. Ιt 13 was that adding the new plaintiff was not going to really do 14 anything for the claims. Here, Mr. Zeidel, from our 15 perspective, adds something very new. We actually have 16 somebody who has a CTE diagnosis. We haven't had that to 17 date in terms of a named plaintiff. Now if he's added we 18 So that to us is very significant. And I'll get to the do. 19 **E*TRADE** case a little bit more.

Again, going back to this issue of class certification, it's their heavy burden to show you why all of this stuff that they think they need -- and for merits discovery they do, maybe, but I'm not going to get into that right now because I don't have to. I don't need to discuss merits discovery, because as I understand it, merits

1 discovery will continue in this case at some point. They will have the opportunity to get all that information 2 3 between now and whenever merits discovery closes to be 4 prepared for summary judgment, to be prepared for trial. 5 From my perspective, the sole issue you need to be thinking about right now is whether they've met their heavy burden to 6 7 show that everything that they say they need, they need for 8 class certification, and with all due respect, I don't think 9 they've met that.

A few things. First, you know, I think that there's certainly -- they talk about adequacy and typicality and why they would need these medical records, but they don't really explain why they would need these medical records for adequacy and typicality purposes, and I don't understand it either.

Adequacy, Rule 23(a)(4), all it says is that you fairly and adequately protect the interests of the class. I'm not sure why medical records or the information they're requesting would matter on that issue.

Typicality, 23(a)(3), claims of the representative parties are typical of claims of the class. The claims are the exact same in the second amended complaint as they are in the first amended complaint. Again, we've actually withdrawn some of those claims.

25

So from those two perspectives, which you do need

1	to analyze, I don't think that they need any of the
2	discovery they say they need to determine whether or not
3	Zeidel's estate would be adequate or whether his claims
4	would be typical.
5	In terms of commonality, we I want to make sure
6	I get this right we'll be filing our motion in a month or
7	so, and so we're fine. We're sort of previewing for you.
8	He's only going to be a member of the damages class. He's
9	obviously not going to be a member of the medical monitoring
10	class. And in terms of the damages, we are going to be
11	moving for issue certification only on the NHL's duty to NHL
12	players to disclose risks associated with playing in the
13	league and the breach of that duty. That is the limited
14	issue on which we'll be seeking class certification from
15	Mr. Zeidel.
16	So I don't I'm not sure how the medical records
17	or any of this information I don't think it has anything
18	to do it goes to causation and it goes to damages. Those
19	are not issues we're seeking for class certification for
20	Mr. Zeidel's purposes, so I don't think you know, the
21	discovery they need, they probably need it for merits, they
22	probably need it for summary judgment, but the opportunity
23	is still there for them.
24	And so that leads to the second issue, which is
25	the likelihood of success of a motion to dismiss, and that's

1	another reason, I think, another difference between here and
2	the E*TRADE case.
3	The E*TRADE case, the judge found that there was
4	a you know, I can't remember the words off the top of my
5	head, but something along the lines of a likelihood of
6	success for a motion to dismiss on statute of limitation
7	grounds. I don't think that that's the case here. We laid
8	this out in our reply brief yesterday and I'm happy to
9	address any questions the Court may have, but I don't want
10	to repeat it all.
11	The simple point is, they have come forward and
12	said that Minnesota's borrowing statute would likely borrow
13	Pennsylvania's statute of limitations. We don't think
14	that's right. We don't think that the Minnesota statute
15	the Minnesota statute speaks to actions or injuries
16	occurring after August 1st, 2004, which is obviously not
17	Mr. Zeidel's issue. And then you've got the Fleeger case,
18	771 N.W.2d 524, dealing with actually Pennsylvania and
19	Minnesota statute of limitations. It's the Supreme Court of
20	Minnesota talking about the fact that the common law applies
21	and Minnesota statute of limitations would apply in that
22	case.
23	None of this is to say that we are absolutely
24	going to win or they're absolutely going to win. All of
25	this is to say that I'm not sure the borrowing statute

1 I'm not sure that you can absolutely say the applies. 2 Pennsylvania statute of limitations would apply. He played 3 in a number of different other places. New York, for 4 example, has a three-year statute of limitations. As we 5 talk about in our reply brief, even if we think the 6 Minnesota borrowing statute's going to apply, there's no 7 authority to suggest that the tolling would also apply from 8 Pennsylvania. There's a Minnesota case directly on point 9 talking about the fact that if it was Minnesota law, tolling 10 would not apply. That's the **Bartlett** case, 355 N.W.2d, that 11 we cite. 12 So, again, this is not -- I don't think either 13 Mr. Beisner or I can definitely say to you today who would 14 win on a motion to dismiss for statute of limitations, but 15 what I can say is that I don't think that they have come 16 forward to show you -- to meet their heavy burden of showing 17 you that there is the likelihood of chance of success that

they would, or whatever that standard exactly may be, to show you that in fact that it's futile to move for leave, because that's really the issue you have to decide: What's the point of moving for leave if they're just going to file and win on a motion to dismiss. I don't think that they've met that futility standard.

So that's all I have for now. Thank you.THE COURT: Thank you very much.

Mr. Beisner.

1

2

MR. BEISNER: Thank you, Your Honor.

Your Honor, the standard for permitting this amendment is good cause and we respectfully submit that that just cannot be met here, and I think we're skipping over in this discussion a very important aspect of the history here.

7 The original master complaint in this case did not 8 have a proposed class representative for individuals 9 diagnosed with brain disease, the definition of which has 10 moved around, but there wasn't a class rep. Plaintiffs then 11 added Mr. Ludzik at some point who alleged to be diagnosed 12 with Parkinsonism. When he decided not to proceed, we then 13 had this point at which the Court said we're going to delay 14 to allow you to look among the six class representatives 15 that were on the table at that point to see if any of them 16 could step into that role. That was the deal. That was the 17 delay that the Court ordered at that point.

And the whole idea of that was to give Plaintiffs a chance to see if any of those six could step into that role, and we went through the exercise and Plaintiffs found a representative, Mr. Leeman. So that exercise produced what Plaintiffs proposed to do.

And I guess the question is why aren't we just moving ahead, because that was the plan, that's what the Court ordered, and out of the blue we have this new class representative, never seen before, never filed a claim in this case, about whom no discovery has been taken, and it's not consistent with the deal that was struck for going forward at that point. And under Plaintiffs' theory, the need there is unclear to us and I think should be unclear to the Court.

7 Counsel said, well, you know, this is a person with CTE. Well, the theory of going forward earlier is we 8 9 needed a class rep with any brain disease to represent that 10 group. Now, if Plaintiffs are now suggesting, which I think 11 is where you'd have to go in order to credit the argument 12 Plaintiffs made, that you need a separate class 13 representative for each disease in that group, then they're 14 going to have to winnow that proposed brain injury class 15 significantly, because they don't have representatives for 16 the others. So it's either one way or the other. Either 17 the approach that they took earlier suffices for their 18 purposes, but if they're arguing now you've got to have 19 different reps for different diseases, then there's a 20 problem, because -- and maybe they're right. But if that's 21 the approach they're going to take, then they need to narrow 2.2 that class definition to just the two diseases that they 23 have represented, whatever Mr. Leeman is alleging he has and 24 whatever this class representative or proposed class 25 representative has. They can't have it both ways. Either

1	what they proposed before suffices for whatever theory they
2	want to espouse or it doesn't.
3	You know, Your Honor, I think that when we were
4	having the discussion about how we were proceeding, the deal
5	the Court established for moving forward, for getting an
6	additional class representative, Mr. Davidson had it right.
7	This is from the May 24th status conference where
8	he represented to the Court with respect to getting the
9	medical reports completed for the the class
10	representatives they wanted to examine:
11	"[W]e are certain to be asking for leave to amend
12	the Complaint. We are doing this well, the entire
13	purpose of this exercise was because of Mr. Ludzik's
14	withdrawal from the case. So, we believe that we are going
15	to be able to substitute in one, if not more, of the current
16	named Plaintiffs. We're not going to add any new Plaintiffs
17	at this very late date, but one of the current Plaintiffs as
18	a Class Two representative."
19	That was that was what was said, and then he
20	went on to note in that same statement that:
21	"[I]t seems to me that it would be more beneficial
22	to amend the Complaint now and crystallize the class
23	allegations now as opposed to doing what we have a right to
24	do, which is move to certify whatever class we want with
25	whatever representatives we want at the class certification

1	stage. I don't think that would be appropriate to do in
2	this case. I'd rather tell the NHL now, this is our
3	these are our class representatives for these classes, this
4	is how the class is defined, and then move on from there."
5	I couldn't say it better, Your Honor. That was
6	the deal. We had people as to whom we had conducted
7	discovery, they wanted to advance one of them in the role,
8	and now they want to change the deal by adding somebody that
9	we've not heard anything about previously, as to whom no
10	discovery has been taken. I think that argument should be
11	dispositive here, Your Honor. That's how we proceeded, that
12	was the deal, that was the basis of the prior delay that we
13	had, and now Plaintiffs are proposing further delay, a clear
14	deviation from the deal we had earlier.
15	But I think as Counsel acknowledged, even if that
16	doesn't isn't dispositive. If that case law, the law of
17	the case here, doesn't deal with that, they've got the
18	problem of showing diligence, and, Your Honor, with all due
19	respect, I don't think they have met that burden.
20	I appreciate Counsel's acknowledgment about what
21	was said in the original brief, but, Your Honor, it's
22	mind-boggling, because the original brief was very clear
23	that this claim had not been brought previously because
24	counsel didn't know about the diagnosis. And, you know,
25	just an error was made in that regard.

1 Let me try to refocus this. Thank you, 2 Mr. Connolly. 3 I mean, the original brief said: 4 "[A]fter Mr. Zeidel's death, Silverman/Thompson 5 had no contact with any representatives of his estate and were unaware of the research that his family had arranged." 6 7 That was the excuse for not bringing the claim earlier, but now we hear in the reply brief and the 8 9 supporting affidavits: 10 "In February 2015, Mr. Zeidel's daughter, Karen, contacted Mr. Silverman and informed him for the first time 11 that Mr. Zeidel's brain had been donated to Boston 12 University for CTE research." 13 14 So contrary to what was said in the original 15 brief, this awareness has been there for 18 months, they've 16 known about this potential diagnosis. THE COURT: In February of 2016, was there a 17 18 diagnosis of CTE in his brain, or when was that? 19 MR. BEISNER: February 2015, Your Honor. 20 THE COURT: I mean 2015. 21 MR. BEISNER: Yeah. The diagnosis occurred in 2.2 January of 2015, if not earlier, but I think it was at least 23 by then. I think the report came in in January 2015. THE COURT: Because this doesn't say there's been 24 25 a diagnosis, just that it's been donated --

1 MR. BEISNER: Hold on. I'll get to it. 2 Same statement that is in the complaint, you know, 3 no contact with any representatives of the estate, and, you 4 know, now we see there's an e-mail from Karen Zeidel, who is 5 the decedent's daughter, to Steve Grygiel in September of 2015 checking in about the status of the case, so continuing 6 7 interest at that point. 8 Your Honor, to the question that you just raised, 9 the original brief said: 10 "Silverman/Thompson did not receive any details 11 from his CTE diagnosis until the Estate retained 12 Silverman/Thompson on July 8 [, 2016]." Well, that's just false, because we now have the 13 14 e-mail from Karen Zeidel to Steve Silverman, February 11th, 15 2015: 16 "Hi, Steve, 17 "I have attached the report for your review." 18 That's the diagnostic report from Boston 19 University, February 11th, 2015, 18 months ago. 20 "I look forward to speaking with you. And yes, it 21 will be very significant." 2.2 And goes on to say that this is the only way you 23 can diagnose. So February 11th, 2015, counsel are on full 24 notice that there's been a CTE diagnosis. 25 THE COURT: So that's -- the report says that.

1 MR. BEISNER: Yes. And there's more to that 2 story. 3 This kind of goes -- oops. What happened here? 4 There we go. 5 Your Honor, on this point as well, this is sort of the same point, but I'd also note in the original motion it 6 7 stated that: "In January 2015, without notice to 8 9 Silverman/Thompson, the BU CTE Center transmitted to the 10 Estate a report determining that Mr. Zeidel had suffered 11 from CTE prior to his death," without notice to counsel. 12 And then you go to that same e-mail I just cited. 13 They were sent the report by Mr. Zeidel's daughter on 14 February 11th, 2015, just shortly after the report was 15 issued. 16 The same statement in the motion that was made: 17 "Silverman/Thompson did not receive any details 18 from his CTE diagnosis until the Estate retained 19 Silverman/Thompson on July 8." 20 Well, here we have an e-mail from Steve Silverman 21 to Karen Zeidel on February 12th acknowledging receipt of 2.2 the report, and there he says: 23 "We would like to discuss with you what you were 24 told is significant about your father's findings -- other 25 than the obvious. Perhaps we could also arrange to speak

1	with them" presumably referencing the BU folks
2	"assuming there are no HIPAA issues that have not already
3	been waived."
4	So there's investigation going on regarding the
5	claim.
6	Again, to the statement that counsel had no
7	details regarding the CTE diagnosis, we now see an
8	acknowledgment in the reply brief that:
9	"A few days [after September 30, 2015], Ms. Zeidel
10	forwarded Mr. Silverman a request from the BU Brain Bank for
11	a release to provide information they had regarding
12	Mr. Zeidel to the NHL."
13	What this refers to, Your Honor, is, you remember
14	we served a subpoena on BU. Didn't specifically ask for
15	anything about Mr. Zeidel. We had no idea anything was
16	going on with Mr. Zeidel, even though Plaintiffs' counsel
17	did. But one of the things, apparently, they identified as
18	being responsive to the subpoena was the file of information
19	about Mr. Zeidel's diagnosis. So here counsel were alerted
20	by Mr. Zeidel's daughter that this file of information with
21	the diagnosis about Mr. Zeidel was about to be produced.
22	THE COURT: But what's so curious about this is
23	apparently for all this time Mr. Silverman's law firm didn't
24	represent the estate.
25	MR. BEISNER: Well, Your Honor but the

Г

1	representation that was made to the Court originally is:
2	"We didn't know," and clearly they did.
3	THE COURT: But you're saying they should have
4	brought the motion to amend back then, and if they didn't
5	represent the estate, how could they do that?
6	MR. BEISNER: Well, Your Honor, here's the
7	problem.
8	First, they're saying and the story is all over
9	the place. I don't know what the record shows on this on
10	which the Court would be able to make a finding of
11	diligence. Here they are talking to the estate, to his
12	daughter, so they're clearly aware of it. They're clearly
13	active on this.
14	THE COURT: But she doesn't turn out to be the
15	person who represents
16	MR. BEISNER: But, Your Honor, here's the issue:
17	Diligence is not only by counsel. It's by the estate. It's
18	by the estate. They are now showing up saying: We want to
19	be the estate wants to be a class representative, and
20	this discussion has been all about counsel not knowing,
21	which turns out not to be true. The estate from this and
22	part of the reason I'm showing you this is that people who
23	are involved in the estate are fully aware of all this.
24	Where was the estate's diligence? There was a
25	representative, a personal representative appointed, but we

1	have absolutely nothing on the record about what was going
2	on there.
3	We do have I mean, here's the issue, Your
4	Honor. I guess I would turn to (indicating) this. We have
5	the statement in the brief that:
6	"In February 2015, Mr. Silverman first learned
7	that neither [Karen Zeidel] nor anyone else from the family
8	was serving as the estate's personal representative," but
9	instead it was Joan Bradley.
10	Now there's an indication here of challenge of her
11	personal representative status, but on July 6th is the next
12	time apparently Mr. Silverman made any contact to find out
13	what was going on. That's the only thing we have in the
14	brief. So we have nothing on the record from which Your
15	Honor can make a determination that there was diligence by
16	the estate. Did anyone move the Court to permit the claim
17	to go forward?
18	THE COURT: Well, it looks like there might have
19	been some kind of a battle about who was going to
20	MR. BEISNER: Your Honor, there is nothing on the
21	record from which the Court can make that assumption. The
22	only thing on the record is Mr. Zeidel before he died hired
23	counsel, and we don't know he was diagnosed with dementia
24	at that time, so somebody else must have been involved in
25	that hiring. We don't know who that is. But he had hired

Γ

1	counsel. So the estate had a claim. The estate had a
2	potential asset. We have no idea what happened thereafter
3	from the estate's perspective. There was a representative
4	appointed who presumably had authority to bring the claim.
5	There's no indication here that counsel urged that it be
6	filed, that there was contact. For whatever reason and I
7	think I heard Counsel represent that they sent her the
8	client mailings for a significant period of time, but we
9	don't have a record showing diligence. Your Honor has to
10	make a finding of diligence by the estate about finding this
11	claim and counsel have given you nothing, given you no
12	record upon which to make that determination.
13	Your Honor, let me turn to the discovery, the
14	prejudice aspect of this, because the Kozlowski case and the
15	E*TRADE securities case that we have referenced also
16	indicate that the Court would be obliged to deny this motion
17	if there is substantial prejudice here, and we think that
18	there would be.
19	You know, counsel are saying, well, we're just
20	going to move for an issues class, so the class
21	representatives are irrelevant here. And, Your Honor, I
22	think that the Blades case before the Eighth Circuit talks
23	about you know, that was sort of the pioneer before Dukes
24	brought this up that you need full development of the
25	facts to understand what the manageability issues are, what

1 all the representation issues are going to be in the case. And to simply say you don't get that discovery, the same 2 3 discovery that we've been afforded for class certification 4 purposes as to the other six plaintiffs, is just wrong. 5 And counsel are forgetting -- they're saying, 6 well, we're going to propose an issues class. I mean, look, 7 the Eighth Circuit rejected that twice in **St. Jude**, and a lot of it had to do with is the issues class going to 8 9 accomplish anything because of all the other issues that 10 would be left to be resolved. That's what we're exploring 11 here. 12 THE COURT: Let me ask a few questions about it. 13 The last time we got together I ordered the plaintiffs to 14 give you authorizations and a pretty good list of medical 15 providers, and what did you get? MR. BEISNER: We got an authorization that turned 16 17 out to not be filled out properly. We notified Plaintiffs 18 We have that back. We have a few persons of that. 19 identified there as medical custodians and we're beginning 20 that process. But, Your Honor, I wanted to give you a sense 21 of how long that medical records collection takes, because I 2.2 think that's pretty critical here. 23 We went back to look at two of the named 24 plaintiffs, LaCouture and Nicholls, to figure out how to get 25 those medical -- what the process was for collecting the

1 medical records. Mr. LaCouture gave us three sources that are noted there in the left-hand column, but then when we 2 got those records, we noticed other healthcare providers 3 that were in there that we then were tipped off were 4 5 possible sources. And when I say "we," I'm referring to the contractor we had, which is a professional records 6 7 collection agency, and, you know, they ended up finding 13 additional sources. 8 9 THE COURT: Isn't it true the importance of these 10 records to you is primarily relevant to causation, is it 11 not? 12 It's relevant to causation, but I MR. BEISNER: 13 think it also is very critical to the warnings case, because 14 what we're finding is that the personal physicians to a 15 number of the individuals had conversations with them and 16 gave their professional judgment about the risks that were 17 involved that need to be factored into this. Contrary to Plaintiffs' allegation in the complaint that only NHL had 18 19 any information about anything, players as part of this 20 process were talking to lots of different people. And even 21 with respect to the issues class with respect to the duty 2.2 consideration, it's going to be a huge issue about how that 23 can be determined in the abstract when you've got so many 24 other sources of information for these players. 25 Your Honor, let me just note here with respect to

1	Nicholls. This is even a more dramatic exercise. I don't
2	think he gave us anybody up front. We got a few clues from
3	some of the other answers and ended up finding 26 sources,
4	some of which didn't emerge until his deposition was taken.
5	And to give you a sense of how this works
6	THE COURT: Do you have an example of a medical
7	record where it shows that a personal physician had a
8	detailed conversation about warnings?
9	MR. BEISNER: Yes, there are a number of them,
10	Your Honor, which we can supply to you. I'm not bringing
11	any to mind offhand, but yeah. I mean, that's common and,
12	you know, the doctors were giving their assessments. Some
13	of these were instances where well, those assessments are
14	out there under a number of different circumstances.
15	Your Honor, just to give you a sense on
16	Mr. Nicholls, we started the collection process for him with
17	the first two up there and those were the dates that we got
18	material back from the first two, Broadway Physical Therapy,
19	the Palo Alto Medical Foundation Radiology Group. And then,
20	you know, as I was describing earlier, we would see in that
21	production others who had treated him and you go on. And
22	this process went on sorry until July, just a few
23	weeks ago, and we're still looking for more for him.
24	And, you know, Your Honor, I will acknowledge I
25	can't say to you, you know, this is the magic one, but

1	you're going through this exercise to piece this together
2	and it's the way it works. And so here, we were at this for
3	basically a year.
4	THE COURT: But apart from any comment a personal
5	physician may have made in a medical record about concerns
6	about concussion and hockey, what other relevance would
7	those medical records have to class certification?
8	MR. BEISNER: Pre-existing condition with respect
9	to causation. There's a suggestion in what limited
10	information we have so far and I'll be a little cryptic
11	about this. No, let me state this as an example.
12	THE COURT: That has to do with causation. I'm
13	talking about
14	MR. BEISNER: That has to do with causation, yes,
15	but there's you also get facts from the medical records
16	to pursue as well. A patient comes in and explains I
17	mean, we had some instances here where the concussions that
18	they were treated for were barroom fights. That's pretty
19	significant to know, a major injury. We have another
20	instance with respect to one of our named plaintiffs we've
21	had a dispute about who had a major head injury in a car
22	accident.
23	THE COURT: Well, that may be true, but for class
24	certification purposes, I'm not going to resolve whether the
25	primary cause of the neurological damage has to do with

Г

1 concussions unrelated to hockey as opposed to related to 2 hockey. 3 MR. BEISNER: But the **Blades** case from the Eighth 4 Circuit says that you do need to figure out whether that 5 would be a contested issue before the jury that denies the 6 possibility of class treatment. THE COURT: Well, you can make that argument 7 8 already, probably. 9 MR. BEISNER: How could we? We don't have his 10 medical reports. He's the class representative. And the 11 argument we would be making to Your Honor is, look at how 12 his trial would go if it were conducted individually. And if they're making an issues class determination, it would 13 14 be -- you know, that's not going to resolve much of anything 15 in that trial because there are 59 other issues that would 16 need to be addressed in that trial. What good is it to do 17 the issues class. That's basically what the Eighth Circuit 18 said in the heart valve cases. And so that's critical 19 information to have. 20 Your Honor, with respect to -- you know, Counsel 21 talked about adequacy of the class representative. Your 2.2 Honor, to even have an issues class you've got to get to 23 typicality, and you've got an enormous number of issues with 24 respect to this plaintiff as to which we're entitled to 25 discovery.

1 I mean, among other things, he played the vast majority of his career outside the NHL. He played 1,227 2 3 games outside the NHL in leagues that had nothing to do with 4 the NHL, only 158 games in the NHL. All right. So what 5 were the policies in those leagues? What was he told there? He's not going to be typical of others who played the vast 6 7 majority of their career in the NHL, but we need to develop that information through discovery to find out why. 8 He's a 9 very difficult named plaintiff in that regard. 10 He says that he sustained -- one of the 11 allegations in the complaint is that he sustained numerous 12 hits, not properly treated. By whom? Is he talking about the NHL? Is he talking about the other leagues? What's the 13 14 evidence of that? 15 He said he played his career while hurt and felt 16 he didn't have control of his health. Failure to warn. 17 He's deceased, so we're going to have to go to 18 others to get that information, to explore typicality as to 19 whether he can be a class representative. 20 I mean, even on the diagnosis, Your Honor, when 21 Boston University did the diagnosis, it wasn't based solely 2.2 on examining slides. They did interviews with a number of 23 people to figure out what experiences he's having. They 24 interviewed his spouse, his daughter, his son, looks like

there may have been others, and we have the right to explore

25

1 those as well, to take those depositions. All we have is 2 some scribbled notes from BU, but apparently they were 3 significant to that.

4 And then the diagnosis in the first place, Your 5 I mean, as to the other plaintiffs, we have expert Honor. reports from Dr. Cantu and those who worked with him that I 6 7 assume will be part of the class certification process to evaluate. I don't know what Plaintiffs would have in mind 8 9 with respect to him. The diagnosis has not been done by any 10 expert such as Dr. Cantu named in this case, and so I assume 11 we would have to do fact discovery at BU with respect to the 12 individuals who made this diagnosis.

13 And then you further have to understand this is a 14 very controversial diagnosis system which we would need to 15 understand. What is it they saw? What are the criteria 16 that they're using to diagnosis CTE? You've got to talk to 17 the people about that. This is very new territory and the 18 BU diagnosis system is controversial. So we're going to 19 have a lot of fact discovery just because they kicked the 20 door open to say that we need to look at BU's diagnostic 21 system.

But, Your Honor, no matter what class they move for, as Counsel acknowledged, that's all going to be a critical part of whether he is a typical representative. All of that has to be explored, there's no shortcut on that,

1	and it's also going to be critical with respect to whether
2	he is an adequate class representative.
3	Your Honor, I was bemused by Counsel sort of
4	saying, well, you know, we don't need to worry about
5	discovery in this case because you're not going to find
6	anything. He's deceased and he stopped playing in 1969.
7	You're not going to find anything.
8	Well, Your Honor, if that's true, how can he be an
9	adequate class representative, because he's not going to be
10	able to prove anything. He's deceased. And if there are no
11	records there, that's a huge problem for them, but we need
12	to make a record that there are no records if Plaintiffs are
13	right on that. I mean, this fellow lived for 87 years I
14	think it was. It's a huge medical record and his playing
15	time was not in the electronic age. I don't know what we're
16	going to find, but we certainly have the right to look.
17	So, Your Honor, I think that this motion presents
18	the Court with kind of a Hobson's choice.
19	One is, if you allow us to take the discovery to
20	which we're entitled, it's going to substantially delay
21	class certification, we think. That's going to take months.
22	And we have a right to that discovery. I think Dukes and
23	the precedents of the Eighth Circuit make clear we can't be
24	denied that inquiry.
25	On the other hand, we also have a right to a

1	prompt, expeditious ruling on class certification under
2	Rule 23(c)(1). It's supposed to happen as soon as
3	practicable. Plaintiffs came to the Court, struck a deal,
4	said let us find a replacement for Ludzik out of the group,
5	the Court agreed, went ahead, they have that person. That's
6	practicable. Whatever this is adds to that and, Your Honor,
7	therefore shouldn't be permitted, because if this plaintiff
8	is added, our due process rights are denied one way or the
9	other. Either we're not getting a prompt determination
10	under (c)(1) for class certification or we're being denied
11	the discovery to which we're entitled.
12	Thank you, Your Honor.
13	THE COURT: Thank you, Mr. Beisner.
14	Mr. Sinclair.
15	MR. SINCLAIR: Thank you, Your Honor.
16	Your Honor, it's always a challenge to follow a
17	seasoned trial lawyer who makes excellent jury arguments,
18	but luckily today we're here on a more simple in some
19	respects legal issue, and I still think that the legal
20	issues I presented to you are the only legal issues you need
21	to decide. I haven't heard anything yet from the other side
22	that would persuade me otherwise.
23	THE COURT: Well, let me ask you this.
24	MR. SINCLAIR: Of course.
25	THE COURT: If I was persuaded that the defense

Γ

1 was entitled to do some discovery here, is it the 2 plaintiffs' preference to delay the case and delay class certification by a number of months, or to have Mr. Leeman 3 as your class representative for Class 2? 4 5 MR. SINCLAIR: Your Honor, it's certainly not our preference to delay, but I have not yet heard good reason 6 why --7 8 THE COURT: I understand you disagree with that --9 Sure, sure. MR. SINCLAIR: 10 THE COURT: -- but my question is different. 11 MR. SINCLAIR: You're right. 12 THE COURT: My question is: If you were faced 13 with that choice -- and maybe you can't answer that without 14 discussing it with your team, but if you were faced with a 15 choice of giving the defense some time to collect these 16 records, which would delay class certification, 17 unfortunately, would you choose to continue with Mr. Leeman, 18 which was of course the plan just a few months ago, if not a 19 month ago, or countenance that delay? 20 MR. SINCLAIR: I would like to talk to my 21 co-counsel on that. 2.2 Your Honor, Mr. Beisner made a number of points, 23 and so I'm just going to try to address them seriatim and 24 hopefully not repeat myself. 25 The first point he made was going to diligence and

1 I think that he talked about Mr. Ludzik and withdrawing and 2 the deal that was struck. You know, we have not had an opportunity -- law of the case has not come up yet and I 3 4 would just ask that if that is an argument that they are 5 truly advancing, that the law of the case, that there's a 6 deal that was actually struck, that we have an opportunity 7 to address that, because that was --8 THE COURT: I don't think law of the case applies 9 here. 10 MR. SINCLAIR: Thank you, Your Honor. 11 THE COURT: There wasn't exactly a deal. The 12 Court ruled, so -- but Mr. Beisner's right. There were 13 representations by your --14 MR. SINCLAIR: There were representations made, 15 Your Honor, and that's absolutely right. You know, in a 16 perfect world, if we only had one client with a general 17 counsel in-house and we could communicate with them on a 18 daily basis and they were sophisticated, we'd probably be in 19 a different position than we are, but we're not in that 20 perfect world. We're several different plaintiffs firms 21 doing our best to communicate amongst each other, doing our 2.2 best to communicate with several individuals, some of whom 23 have -- you know, this is the reason why we brought the 24 suit -- are not necessarily fully up and sophisticated as 25 Mr. Beisner's clients might be on these issues. So is it a

1 great excuse? No, Your Honor, but it's the way the world 2 is. And I can assure you, just as I know Mr. Beisner is 3 working and his team is working just as hard as they can to 4 push this to a resolution, so are we. And that's why I 5 began my argument by saying my apologies, because I know we could have made this easier on the Court, but we are here 6 7 today to give you the full record that you need to make this decision. 8

9 A couple other points. You know, Mr. Beisner 10 spent a fair bit of time on our interactions with Ms. Zeidel 11 and I appreciate his restraint. He probably could have had 12 a little more fun with our briefing, but I think you hit the nail on the head. It's a red herring. Whether or not -- if 13 14 Ms. Zeidel had authorized us in February of 2015 or in June 15 of 2014, it wouldn't have mattered. We still couldn't have 16 filed anything until the personal representative.

17 And Mr. Beisner says that the estate has to act 18 with diligence. I haven't seen any case law suggesting that 19 that's the case whatsoever. I think that that is putting a 20 lot of responsibility on a personal representative in an 21 estate that we would need some -- we would need any 2.2 authority for, to suggest that you need -- that the estate 23 needs to move -- an estate that's not a lawyer, doesn't have 24 a lawyer present, would need to act with the same sort of 25 diligence that Plaintiffs' counsel would. So I don't

1	think that the I don't think that that is a winning
2	argument.
3	On discovery, Your Honor, I just want to make sure
4	that we have you had asked what we provided and so I'm
5	happy to give you copies of this.
6	We on August 1st sent an e-mail, Mr. Cashman sent
7	an e-mail to Mr. Beisner: "I'm writing to provide you some
8	additional medical provider information for Mr. Zeidel," and
9	he listed Boston University, Bryn Mawr Hospital, Cheryl Koch
10	at Bryn Mawr, Medical Arts, Gary Dorshimer at Penn Medicine
11	Washington Square, Harry Gottlieb, Pennsylvania Hospital,
12	Andrew Phillips, and medical records also may be available
13	from Golden Living, Diane Nachamkin, N-A-C-H-A-M-K-I-N.
14	And then on August 2nd we provided 34 pages of
15	information that we had on Mr. Zeidel. So to ensure that
16	you got the answer you want, I wanted to make sure you had
17	that.
18	On discovery, I think
19	THE COURT: Why don't you make that an exhibit for
20	the record.
21	MR. SINCLAIR: Yes, Your Honor.
22	THE COURT: I mean, you can is that your only
23	copy, or
24	MR. SINCLAIR: It is, but I've got more copies, so
25	I'm happy to make this

Г

1	THE COURT: All right.
2	MR. SINCLAIR: At the end I'll bring it up or do
3	whatever is appropriate.
4	THE COURT: That's fine.
5	MR. SINCLAIR: On the issue of discovery, Your
6	Honor, you know, I think that you again hit the nail on the
7	head. I think that most if not all of what Mr. Beisner was
8	talking about goes to causation and damages, and I don't
9	think that those are relevant issues to the limited issue
10	class certification we're presenting or the other class
11	issues that you need to take into account.
12	You know, in terms of what the Eighth Circuit has
13	said about issue class certification, I'm not sure that this
14	is the time to be thinking about that. If we want to move
15	for issue class certification and you think that we are able
16	to do so, that can be addressed during the class
17	certification briefing. I guess there's an argument that
18	perhaps there's a futility I guess what Mr. Beisner's
19	suggesting is that there's a futility argument, that, you
20	know, we should we're going to lose on an issues class
21	certification, but I didn't hear that articulated and I
22	don't see any authority for that. I think that we are
23	absolutely entitled to move on issue class certification and
24	we've made that representation, so there's another deal
25	that's been struck today. I don't expect to back away from

1	it Dut you know that la the limited issue I think you
1	it. But, you know, that's the limited issue I think you
2	need to focus on, what discovery is necessary for us to be
3	able to show that he's adequate, it's typical, and this
4	narrow issue of the duty that they owed to our players. In
5	terms of the duty that they owed to our players, I don't
6	think you need anything from our guys.
7	In terms of the adequacy and typicality, again,
8	adequacy is whether you fully and fairly will represent the
9	class, whether he's going to whether his estate will, you
10	know, rise to the challenge and make sure that everything
11	that needs to get done will get done. I haven't heard any
12	argument suggesting that that's not the case.
13	And the typicality goes to the claims. These are
14	the same claims that are in the first amended complaint, so
15	I don't see a reason.
16	Mr. Beisner said there's a lot of fact discovery
17	and this is a point they made in their brief, that they need
18	all this fact discovery on claims. And again, I don't doubt
19	that that's the case. We may have some arguments about the
20	totality of it, but I'm sure they're entitled to this on
21	causation issues and damages issues. We just don't see
22	those as the issues you need to face right now, and we don't
23	see them having carried the heavy burden and again,
24	that's the language from Lutheran Brotherhood the heavy
25	burden they need to show that in fact they will be

Г

1	prejudiced if you allow Mr. Zeidel to be added as a class
2	representative.
3	With that I conclude and I'm happy to do whatever
4	is best to add these as exhibits.
5	THE COURT: Why don't we do that right now. And
6	I'd appreciate a letter from Plaintiffs no later than the
7	end of next week advising the Court about whether you prefer
8	to proceed with Mr. Leeman as your class rep, or if the
9	Court believes that the NHL is entitled to some discovery,
10	that you're okay with that delay.
11	MR. SINCLAIR: Of course, Your Honor. Thank you.
12	THE COURT: Mr. Beisner.
13	MR. BEISNER: Your Honor, just briefly on a couple
14	of points.
15	Counsel started out by saying that on the
16	diligence issue, that it really is an issue of counsel
17	diligence and was unaware of any cases where the reference
18	was to the parties' diligence.
19	I would point out that all of the cases on pages 6
20	and 7 that we cited in our brief, pages 6 and 7 of our brief
21	on that issue, was looking at it from the plaintiff the
22	potential plaintiff's perspective. The Barstad case, Eighth
23	Circuit 2005, the court found a lack of good cause and noted
24	that, quote, the Barstads, the plaintiffs, knew of the
25	claims they sought to add when they filed the original

1	complaint. The Popoalii case looks at it from the
2	plaintiffs' perspective. That's another Eighth Circuit
3	case, 2008. All of those cases we cite talk about what
4	should have been known to Plaintiffs.
5	And, you know, the suggestion of, well, they
6	didn't have counsel and so on, you had counsel swarming all
7	over this thing, but we've got nothing on the record to
8	indicate why the Court should conclude that the party here
9	that now seeks to be before the Court and intrude on the
10	process that the Court and everyone had set out in moving
11	forward on class certification, why they should not have
12	come forward previously.
13	Your Honor, the other thing I would note with
14	respect to the relevancy of this evidence, again, it's right
15	at the center of everything on class certification even if
16	it is an issues class. Typicality, you've got to look at
17	the claimant and the claimant's facts, and, you know, are
18	their claims the same.
19	THE COURT: Well, the claims are the same. You're
20	saying the plaintiffs aren't the same.
21	MR. BEISNER: But the plaintiffs' claims, I think
22	the case law is pretty clear, isn't you don't just say
23	are they asserting is the complaint the same. You've got to
24	look at whether the facts that go with the claims are the
25	same. You know, are they going to be telling the same story

1 to the jury as the others and are they typical in that 2 sense. That's what that requirement is about. And the 3 Supreme Court in its recent pronouncements, it made clear 4 that you need to look -- it's not a question of whether you 5 just state each of them, for example, states a common issue That's too literal. You've got to look at the 6 or so on. 7 facts underlying those claims.

8 And the same is true with respect to typicality. 9 And Counsel can say, well, you can deal with that later in 10 the class certification process is what I thought I heard 11 him say, but you need the record on that to deal with it 12 I'm not quite sure what Counsel meant by that, but it now. 13 was sort of like, well, we can deal with that when we get to 14 No, that's here and now. In their motion they have got it. 15 to make a record that this person is typical. There's no 16 And we as the defendant, likewise, have the right record. 17 to say that person is not typical and not adequate.

18 And adequacy, I just wanted to note as well in 19 response to Counsel, isn't just a, gee, do we have good 20 enough lawyers on this. It's adequacy in the sense of are 21 their claims consistent with the rest of the class such that 2.2 you would expect them to be able to argue to the jury in an 23 effective way on behalf of the class. That's why we need 24 the evaluation of the claim. I mean, there are tons of 25 cases out there saying that you can't have as a class

1	representative under (a)(4) someone who has a seriously
2	damaged claim because it's not going to be fair to the class
3	members. That's what we're looking at here.
4	So anyway, with that, Your Honor, I'll conclude.
5	Thank you.
6	MR. GUDMUNDSON: Your Honor, if I may, just a
7	small housekeeping matter in advance of the next status
8	conference.
9	I was a little shocked to hear Mr. Beisner talking
10	about all these very specific warnings that were given by
11	individual physicians in advance. And this is not for
12	resolution today, but I'm pretty familiar with the class
13	reps' depos and saw no such thing. And so if there's
14	documents that haven't been turned over to us from third
15	parties, I am not familiar with every piece of paper that's
16	been turned over, but we would want to talk about that at
17	the next status conference. I just wanted to make sure that
18	that was on the record because it's not come up in any of
19	the depos so far.
20	THE COURT: Okay. I think I asked Mr. Beisner
21	earlier in this hearing and perhaps I didn't say it out
22	loud what I was thinking, but if you could supplement the
23	record with this evidence, that would be helpful.
24	MR. BEISNER: Yes, Your Honor. We're talking
25	about produced records, produced materials.

1 THE COURT: I hope so, yes. Anything further for 2 today? 3 (No response) 4 THE COURT: Court is adjourned. 5 (Proceedings concluded at 10:35 a.m.) 6 7 8 9 10 CERTIFICATE 11 12 I, TIMOTHY J. WILLETTE, Official Court Reporter 13 for the United States District Court, do hereby 14 certify that the foregoing pages are a true and 15 accurate transcription of my shorthand notes, 16 taken in the aforementioned matter, to the best 17 of my skill and ability. 18 19 20 /s/ Timothy J. Willette 21 2.2 TIMOTHY J. WILLETTE, RDR, CRR, CRC Official Court Reporter - U.S. District Court 23 1005 United States Courthouse 300 South Fourth Street 24 Minneapolis, Minnesota 55415-2247 612.664.5108 25